
Review | Integrative Systems

A Path to Sleep is Through the Eye

Light-induced sleep

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42 ABSTRACT

43 Light has long been known to modulate sleep, but recent discoveries support its
44 use as an effective nocturnal stimulus for eliciting sleep in certain rodents.
45 “Photosomnolence” is mediated by classical and ganglion cell photoreceptors and
46 occurs despite the ongoing high levels of locomotion at the time of stimulus onset. Brief
47 photic stimuli trigger rapid locomotor suppression, sleep and a large drop in core body
48 temperature (T_c ; Phase 1), followed by a relatively fixed duration interval of sleep
49 (Phase 2) and recovery (Phase 3) to pre-sleep activity levels. Additional light can
50 lengthen Phase 2. Potential retinal pathways through which the sleep system might be
51 light-activated are described and the potential roles of orexin (hypocretin) and melanin-
52 concentrating hormone are discussed. The visual input route is a practical avenue to
53 follow in pursuit of the neural circuitry and mechanisms governing sleep and arousal in
54 small nocturnal mammals and the organizational principles may be similar in diurnal
55 humans. Photosomnolence studies are likely to be particularly advantageous because
56 the timing of sleep is largely under experimenter control. Sleep can now be effectively
57 studied using uncomplicated, non-intrusive methods with behavior evaluation software
58 tools; surgery for EEG electrode placement is avoidable. The research protocol for light-
59 induced sleep is easily implemented and useful for assessing the effects of
60 experimental manipulations on the sleep induction pathway. Moreover, the experimental
61 designs and associated results benefit from a substantial amount of existing

62 neuroanatomical and pharmacological literature that provides a solid framework guiding
63 the conduct and interpretation of future investigations.

64 SIGNIFICANCE

65 Sleep is expressed as a circadian rhythm and the two phenomena exist in a poorly
66 understood relationship. Light affects each, simultaneously influencing rhythm phase
67 and rapidly inducing sleep. Here, multiple effects of light on sleep are discussed, as are
68 the possible anatomical pathways by which photic input might reach brain stem sleep
69 regulating nuclei. Emphasis is placed on a simple procedure by which a brief light
70 stimulus rapidly induces sleep. Studies of the photic input pathway through which light
71 elicits sleep, combined with modern soft- and hardware tools enabling studies of
72 behavioral sleep absent the EEG and neurosurgery, are likely to provide a very
73 productive avenue for probing the mechanisms of sleep onset and regulation.

74 **Introduction**

75 Complex physiological and behavioral activities are commonly influenced by
76 simple environmental stimuli. Such is the case for the generation and regulation of
77 sleep. In nocturnal rodents, sleep is expressed primarily during the hours of daylight,
78 with abundant locomotor activity occurring during the night. Two organizing principles
79 governing sleep are confirmed by this information. One is regulation of sleep timing
80 through photoperiod-induced entrainment of the baseline sleep/wake circadian rhythm.
81 The other is the presence of temporal incompatibility between sleep and locomotion
82 such that an active animal does not sleep and, if it sleeps, it is not active. Active animals
83 are not sleeping animals and, by implication, sleep should be difficult to induce in active
84 animals. Unexpectedly, the opposite is true: *highly active mice or hamsters exposed to*
85 *nocturnal light causes their activity to cease in association with sleep induction.*

86 Recent research suggests that the use of photic stimulation in sleep studies may
87 elucidate a neural circuit originating in ocular photoreceptors and projecting to the
88 complex neural system generating and regulating sleep. A more thorough
89 understanding of this circuit can form the basis of a research strategy designed to
90 ultimately describe the structure and operation of the sleep-regulatory system.

91 This presentation 1) provides a status report regarding light-induced sleep; 2)
92 advocates on behalf of simple sleep research methods that emphasize behavioral
93 analysis; 3) reinforces the view that visual projections provide a fairly direct path from
94 photoreceptors to brain regions and neural circuitry responsible for sleep generation
95 and regulation; and 4) suggests that simple studies of light-induced sleep offer a unique

117 opportunity to study the mechanisms underlying sleep. Nocturnal light exposure creates
118 a convenient avenue through which entry into and emergence from the sleep state can
119 be stimulated, explored and understood.

120

121 **Photic Modification of Sleep in Nocturnal Rodents**

122 Light has been known to modulate sleep for many years, with many of its effects
123 documented in works from the Borbély lab and summarized in his review, "Effects of
124 light on sleep and activity rhythms." In it, Borbély (1978) describes four lighting effects.
125 Most obvious is the influence of the daily photoperiod on entrainment of the central
126 circadian clock in the suprachiasmatic nucleus (SCN) and the consequent timing of the
127 sleep/wake rhythm (see also Mistlberger (2005)).

128 Borbély also described two additional lighting effects in rats exposed to
129 alternating light and dark intervals multiple times per 24 hr day (ultradian LD
130 photoperiods). REM sleep can be triggered simply by turning off the light (Lisk and
131 Sawyer, 1966; Borbély et al., 1975; Deboer et al., 2007), as occurs repeatedly when
132 animals are housed under a 15 min lights on/15 min lights off photoperiod (see Baracchi
133 et al. (2008) for a review)).

134 The experimental design favored by Borbély showed that certain effects of light
135 were more likely to occur during one particular phase of the animals' rest/activity cycle.
136 He noted, for example, that when the 15 min of an ultradian LD photoperiod coincided
137 with the nocturnal activity phase, rats became less active and showed a rapid increase

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138 in non-rapid eye movement sleep (nREM). Light exposure during the inactive phase
139 tended to have little or no effect on the amount of sleep. He also noted that this effect
140 was irradiance dependent (Borbély et al., 1975; Borbély, 1978). The basic observation
141 that nocturnal light augments sleep while suppressing motor activity is a third effect of
142 light and the primary concern of this presentation. Several studies employing mice and
143 longer light exposures have confirmed Borbély's basic observations that sleep and
144 inactivity are induced by light exposure during the nocturnal activity phase (Altimus et
145 al., 2008; Lupi et al., 2008; Tsai et al., 2009; van Oosterhout et al., 2012a).

146 In addition to the foregoing, there is a fourth lighting-related effect. Exposure of
147 sleeping mice to darkness during the normal daylight hours of the daily photoperiod has
148 been reported to increase behavioral arousal (Altimus et al., 2008; Tsai et al., 2009).
149 Such results support a suggestion from Borbély's data (Borbély, 1975) that rats engage
150 in more locomotion (and less nREM sleep) in the dark portion of ultradian LD
151 photoperiods even during the typical subjective daytime sleeping hours.

152 The Borbély (1978) review discussed many topics related to photic regulation of
153 sleep. It was deficient only with respect to knowledge about intrinsically photoreceptive
154 retinal ganglion cells (ipRGCs) and the fact that very brief nocturnal photic stimuli
155 simultaneously inhibited locomotion while augmenting sleep. Initial awareness of the
156 former occurred about 20 yrs after Borbély's review (Provencio et al., 1998; Provencio
157 et al., 2000; Provencio et al., 2002) and the delay was almost 30 years for the latter
158 (Vidal and Morin, 2007). It is now known that visual system regulation of activity and
159 sleep involves contributions from rod, cone and ipRGC photoreceptors. Nocturnal photic

160 information triggers an abrupt transition from the awake, active state to the state of
161 behavioral quiescence and sleep.

162 In addition to the emphasis of light effects on sleep, Borbély was careful to
163 describe the “dual action of light” consisting of induction of behavioral state and
164 alteration of circadian rhythm phase. Despite the prescience of the Borbély review, it
165 has been cited only 90 times, although it is one of the few discussions to directly
166 address the relationship between light, sleep and locomotion/activity. In addition,
167 Borbély is one of the few investigators to question and evaluate the interdependence of
168 multiple rhythmic variables (sleep, feeding, drinking, body temperature), as well as their
169 modulation by light (Borbély and Huston, 1974; Borbély, 1978).

170 It should be noted that generalizations about the effects of light on sleep or
171 arousal which are deduced from studies of nocturnal species may not apply to diurnal
172 animals (see Shuboni et al. (2012); Langel et al. (2014) for discussion). In humans, for
173 example, nocturnal light enhances alertness (see Cajochen (2007); Hubbard et al.
174 (2013) for reviews), contrary to the effects observed in small nocturnal laboratory
175 rodents.

176

177 **“Negative Masking” in Circadian Rhythm Research**

178 Nocturnal light exposure has a well-known suppressive effect on locomotion.
179 This phenomenon is known as “negative masking” or simply “masking” (Mrosovsky,
180 1999). For a variety of reasons previously discussed (Morin, 2013b), this effect of light

181 on activity is here referred to as “locomotor suppression.” Such suppression has been
182 most commonly studied using an efficient procedure developed by Mrosovsky (1994) in
183 which an animal with access to a running wheel is exposed to a 1 hr light pulse early in
184 the night. The extent to which light exposure changes the nocturnal activity is calculated
185 relative to the level of activity during the same 1 hr interval of the previous night when
186 there was no light. The locomotor suppressive effect of light is one of a variety of non-
187 image forming responses of the visual system and involves light detection by both
188 classical (rod/cone) and ganglion cell photoreceptors (intrinsically photoreceptive retinal
189 ganglion cells or ipRGCs). The combination of rod/cone and ipRGC photoreception
190 mediates locomotor suppression as well as other non-image forming visual responses
191 including circadian rhythm phase shifts, pupillary light reflex and melatonin suppression
192 (Redlin, 2001; Hattar et al., 2003; Panda et al., 2003; Morin and Studholme, 2011;
193 Morin, 2013b).

194 Suppressive effects of light have been considered to be “acute” or “direct” effects
195 of light (see Mrosovsky (1994); Mrosovsky (1999); Shuboni et al. (2012); Hubbard et
196 al. (2013); Morin (2013b); Langel et al. (2014) for discussion). That is, locomotor
197 suppression has traditionally been thought to be the result of motor inhibition caused by
198 the ambient illumination. Although the study of light-induced locomotor suppression
199 evolved out of circadian rhythm research concerned with the process of photo-
200 entrainment, the phenomenon has usually been considered to be unrelated to circadian
201 oscillator function and often an impediment to its proper evaluation (Mrosovsky, 1994;
202 Spoelstra et al., 2004). Locomotor suppression in nocturnal animals has been proposed

203 as a means of obtaining light-induced behavioral change that complements the effects
204 of light on circadian rhythm phase (Mrosovsky, 1999; Langel et al., 2014). Although this
205 general perspective has been widely held for many years, no data directly support it. In
206 fact, similarities between photic regulation of circadian rhythm phase, locomotor
207 suppression and pineal melatonin synthesis are sufficient to justify the presumption that
208 a common sensory input pathway underlies all three responses (Morin, 2013b).

209

210 **A Tale of Misdirection: “Negative Masking” Involves Photosomnolence**

211 The term, “negative masking,” does not facilitate the understanding of light-
212 induced behavioral change. It implies that something is covered or cloaked without
213 providing any specifics regarding exactly how it occurs, what has been altered or even
214 what is being measured. Moreover, although the term most frequently refers to an
215 inhibitory or negative effect of light, there are other proposed varieties with the light-
216 induced increase in activity (“positive” masking) being the most common (Mrosovsky,
217 1999)).

218 A more serious difficulty has arisen because studies designed to evaluate the
219 “acute,” supposedly inhibitory, effect of light have failed to recognize that when one
220 behavior in a particular repertoire is suppressed, there is an obligatory simultaneous,
221 compensatory increase in at least one alternate behavior. Mrosovsky’s studies
222 (Mrosovsky et al., 1999; Redlin and Mrosovsky, 1999b; Mrosovsky et al., 2001;
223 Mrosovsky and Hattar, 2003; Mrosovsky and Thompson, 2008; Thompson et al., 2008)

224 focused on wheel running and did not experimentally consider the following question: *If*
225 *a nocturnal animal is exposed to an hour of light early in its active phase and its*
226 *locomotion is reduced to zero, what behavior is correspondingly elevated to fill the void?*
227 This question clearly implies that light-induced locomotor suppression is not necessarily
228 the equivalent of simple behavioral or physiological inhibition. Instead, when mice or
229 hamsters are exposed to nocturnal light, locomotor suppression is simultaneously
230 accompanied by a compensatory increase in *sleep*. “Photosomnolence” is light-induced
231 sleep (Morin and Studholme, 2009; Studholme et al., 2013). Most importantly, the
232 existence of photosomnolence is not consistent with the historical view that negative
233 masking represents the loss of behavior. To the contrary, photosomnolence is a
234 consequence of *change in behavioral state*, with light exposure causing an abrupt
235 switch from awake and highly active to behaviorally quiescent and asleep.

236

237 **Locomotor Suppression as a Behavioral Proxy for Sleep**

238 Evidence of sleep behavior in masking studies was initially obtained from video
239 recordings of mice and hamsters housed under infrared illumination and exposed to
240 millisecond light flashes during their nocturnal activity phase (Morin and Studholme
241 (2009) and unpub. hamster data cited in Morin and Studholme (2011)). More recently,
242 quantitative video-based sleep assessment (a validated alternative to the EEG
243 (Multimedia 1) (Pack et al., 2007; Fisher et al., 2012)) has confirmed sleep behavior in
244 response to brief light stimulation (Morin and Studholme, 2011). The video and
245 behavioral evidence for light-induced sleep has been directly confirmed by conventional

246 EEG evaluation (Altimus et al., 2008; Lupi et al., 2008; Muindi et al., 2013; Studholme
247 et al., 2013). In addition, both light-induced locomotor suppression and EEG-determined
248 sleep are similarly sensitive to stimulus irradiance (Mrosovsky et al., 1999; Redlin and
249 Mrosovsky, 1999b; Mrosovsky et al., 2000; Muindi et al., 2014). The behavioral data
250 do not correlate perfectly with EEG measures, in part because there are brief intervals
251 immediately prior and subsequent to the period of sleep during which animals are
252 awake, but not detectably active.

253

254 **Multiple Effects of Light on the Photosomnolence Pattern**

255 A study by Redlin and Mrosovsky (1999b) contains a result not easily explained if
256 nocturnal locomotion is directly inhibited by ambient light, as conceptualized from the
257 historical perspective of the locomotor suppression phenomenon. Their result shows
258 that locomotion remains suppressed for a prolonged interval after termination of the light
259 stimulus and the return to darkness (i.e., locomotion does not recover instantly when the
260 light is turned off). Redlin and Mrosovsky (1999b) refer to this effect as “post-pulse
261 masking” (see their Figs. 4 and 6) and it is important to the understanding of
262 photosomnolence because it was the first indication that the locomotor response to light
263 is more complicated than simple behavioral inhibition.

264 Experimental application of nocturnal photic stimuli considerably shorter than
265 those favored by Mrosovsky has provided evidence that the typical locomotor pattern
266 has three distinct phases (Fig. 1). Each phase has its own particular significant features

267 that require further experimental attention. In Phase 1, the *Induction* phase, the initial
268 light exposure occurs and behavioral state changes from a high arousal/activity level to
269 behavioral quiescence and sleep. Phase two is the *Sleep* interval. Phase three is the
270 interval of *Recovery* from sleep and the return to the pre-light level of activity.

271 Exposure to nocturnal light triggers Phase 1 which is characterized by a rapid
272 loss of locomotion and transition to sleep. In Phase 1, the average level of activity is
273 reduced over several minutes from an elevated state to zero activity and sleep. The
274 average mouse actually increases its activity for about 60 seconds after the stimulus
275 onset with the typical locomotor decline occurring thereafter (Morin and Studholme,
276 2011). In other words, animals do not instantly go to sleep, falling out of their running
277 wheels when suddenly exposed to nocturnal light (Morin and Studholme, 2009).

278 Phase 1 occurs in mice lacking ipRGCs or classical rod/cone photoreceptors
279 (Fig. 2; Morin and Studholme (2011)). However, the emergent locomotor
280 uppression/photosomnolence pattern of the average mouse lacking ipRGCs is
281 substantially different from that of wildtype or rodless/coneless mice. On the one hand,
282 mice lacking ipRGCs exhibit robust short term suppression during the initial 5 min after
283 light onset. On the other, absence of ipRGCs prevents the typical, prolonged light-
284 induced, locomotor suppression. The locomotion level remains erratically suppressed
285 for the expected duration of Phase 2 (Fig. 2B,C). These observations suggest three
286 things: 1) Phase 1 can be triggered by either ipRGC or classical photoreceptors; 2) The
287 duration of Phase 2 is normal if only the classical photoreceptors are absent and is
288 more or less normal if only the ipRGCs are absent; and 3) Light is a much less effective

289 locomotion suppressor (or sleep inducer) in mice lacking only ipRGCs. The last point
290 may indicate that differing mechanisms control the different portions of the locomotor
291 suppression pattern (onset, duration, recovery) and the ability of the sleep induction
292 system to be activated by light. The photosomnolence data, as indicated by behavioral
293 sleep indices (Morin and Studholme, 2011), are consistent with additional information
294 showing that ipRGCs are not necessary for the initiation of normal light-induced sleep
295 (as estimated from wheel running results (Mrosovsky and Hattar, 2003)) or measured
296 by EEG (Altimus et al., 2008; Lupi et al., 2008; Tsai et al., 2009; Muindi et al., 2013),
297 but are necessary for the normal maintenance of such. In addition to the foregoing, it
298 should be noted that only about two thirds of mice lacking melanopsin fail to show
299 photosomnolence; responses by the remaining third are seemingly normal (Morin and
300 Studholme, 2011).

301 The interval of mouse photosomnolence (Phase 2) is about 30 min (Morin et al.,
302 2010). It is elicited by stimuli ranging from 30 to 600 sec. Briefer (≤ 3 sec) stimuli do not
303 effectively suppress locomotion and, by inference, are not expected to elicit sleep.
304 Stimuli that are roughly 1200 sec or longer induce an interval of robust locomotor
305 suppression that persists while the stimulus is present, plus many minutes beyond, with
306 the interval duration related to the length of the stimulus. Thus, exposure to light has
307 two distinct effects on photosomnolence and locomotor suppression. The first is a
308 suppression response of relatively fixed duration that is triggered by brief light exposure:
309 once initiated by light, Phase 2 proceeds to completion in the absence of additional

310 light. The second effect of light is one of additivity: once triggered, Phase 2 can be
311 prolonged by exposure to additional light.

312 The duration of Phase 2 may vary with species, being about 30 min in mice
313 (Morin and Studholme, 2009; Morin et al., 2010) and 40 min in hamsters (unpub. data
314 cited by Studholme et al. (2013)). Phase 2 ends with the beginning of Phase 3
315 (Recovery). Individual animals appear to wake abruptly, then move around the cage,
316 eventually accessing the wheel and running. The length of Phase 2 can vastly exceed
317 the stimulus duration, especially if the photic stimulus lasts only milliseconds (Vidal and
318 Morin, 2007; Morin and Studholme, 2009; Morin and Studholme, 2014a). The average
319 interval of mouse locomotor suppression is 6 times longer than a typical 5 min triggering
320 light pulse. The ability of additional light to prolong photosomnolence automatically
321 delays recovery. Indeed, there may be no mechanistic difference between Phase 3 and
322 the termination of Phase 2.

323

324 **Thermoregulation during Photosomnolence**

325 One obvious feature in Fig. 1 is the interval of locomotor suppression triggered
326 by light. Wheel running and general activity in the home cage are affected equally with
327 each rapidly falling to zero (Morin and Studholme, 2011). The decline and subsequent
328 absence of locomotion is associated with a marked change in core body temperature
329 (T_c ; Studholme et al. (2013)). This change is consistent with earlier observations
330 (Borbély and Huston, 1974) of rats housed under ultradian LD photoperiods. The

331 decline in Tc is of importance because of the widely held view that lower Tc facilitates
332 sleep (Murphy and Campbell (1997); McGinty and Szymusiak (2003); see Studholme
333 et al. (2013) for discussion).

334 On the average, Tc begins to drop several minutes after the abrupt decline in
335 locomotion and reaches its minimum about 30-35 min after light stimulus onset. This
336 contrasts with the change in wheel running which often declines to zero in only 3-5 min.
337 The magnitude of the light-induced temperature decrease varies with circadian time.
338 Average maximal change is -1.7°C in response to light exposure at circadian time 1500
339 (CT15) and the smallest average change (about -0.3°C) occurs during the interval of
340 CT3-CT9 (Studholme et al., 2013). Dark exposure during the daytime has been
341 reported to increase rat Tc, while light during the nighttime causes a Tc decrease
342 without an associated drop in activity (Hasegawa et al., 2000). The onset of laboratory
343 daylight is associated with an abrupt, large drop in rat brain temperature (Yoshida et al.,
344 2001).

345 It is commonly suggested that Tc is causally related to the level of locomotion
346 (Weinert and Waterhouse, 1998; Weinert and Waterhouse, 2007), but this does not
347 seem to be the case with some species of small rodents, including the C57BL/6J
348 laboratory mouse (Gebczynski and Taylor, 2004; Studholme et al., 2013). Five
349 situations have been identified in which mouse Tc changes in a manner that does not
350 reflect the level of simultaneously recorded locomotor activity. Perhaps the most
351 obvious of these is the spontaneous Tc recovery that occurs about 5-10 min prior to the
352 resumption of light-suppressed locomotion (Fig. 1A,B, arrowheads). Spontaneous Tc

353 recovery is even more obvious if the triggering light stimulus is long (e.g., 1 hr). As
354 mentioned above, long light exposure prolongs locomotor suppression (Morin et al.,
355 2010; Morin and Studholme, 2014a). It also delays the return of Tc back to normal.
356 However, within the temperature record, there is a transient Tc rise with no
357 corresponding locomotion increase (Fig. 1C, asterisk). The fact that Tc then drops a
358 second time during the longer light exposure suggests that the sleeping mice show a
359 functional response. The alternative, that the mice momentarily awaken with open eyes
360 before resuming sleep, has not been excluded.

361 It is likely that neither the abrupt decline in activity nor the sleep onset is directly
362 responsible for the Tc drop. However, it is not at all clear whether the change in Tc
363 contributes to the process of sleep induction. Tc and sleep are regulated by overlapping
364 brain regions with many individual preoptic neurons contributing to both
365 thermoregulation and sleep (see Szymusiak and McGinty (2008) for a review). Studies
366 involving preoptic heating or cooling suggest there is a direct effect of hypothalamic
367 temperature on sleep. Within limits, as preoptic temperature increases, sleep time
368 increases. Preoptic temperature increases activate heat loss mechanisms, with the
369 converse occurring in response to decreases (Sakaguchi et al., 1979; McGinty et al.,
370 1994; Nakamura, 2011). A drop in Tc may indicate a change in hypothalamic
371 physiology necessary for sleep, but not causal of it (see also the review by Krauchi and
372 Deboer (2010)). The ability to block photosomnolence by exogenously clamping Tc or
373 hypothalamic temperature at baseline levels has not been tested.

374 The light-induced lower T_c is likely the consequence of a drop in the
375 thermoregulatory “set point” for hypothalamic temperature. Such a drop would cause
376 cutaneous vasodilation and body heat loss to accommodate the lower set point. The
377 observed light-induced drop in T_c is likely a sequel to autonomically-driven (and
378 presumably rapid) vasodilation initiated by cells in the medial preoptic region
379 (Nakamura, 2011; Tanaka et al., 2011). Such vasodilation would be expected to elicit a
380 short latency (≤ 1 min; Tanaka et al. (1986)), rapid rise of skin temperature, precipitating
381 a drop in T_c resulting from transcutaneous heat loss. Light could exert its effects directly
382 through synaptic influences on neural activity in the circuitry controlling vasodilation or
383 indirectly by elevating hypothalamic temperature above the existing set point which
384 would also cause compensatory heat loss. The temporal and causal relationships
385 between light-induced sleep, rate of peripheral heat loss, hypothalamic temperature and
386 hypothalamic temperature change await further study.

387

388 **An Eye to Sleep: Speculative Neuroanatomy of Photosomnolence**

389 Photosomnolence involves light detection by both classical photoreceptors and
390 ipRGCs, with the latter funneling information from all photoreceptor types to the brain
391 (Hattar et al., 2006; Göz et al., 2008; Guler et al., 2008; Hatori et al., 2008; Tsai et al.,
392 2009; Morin and Studholme, 2011; Muindi et al., 2013). Retinal projections (Fig. 3A)
393 from all types of ganglion cells are present in about 46 different mouse brain regions,
394 including 12 in the hypothalamus (Morin and Studholme, 2014b). Many of the
395 retinorecipient nuclei, especially those in the subcortical visual shell of the midbrain and
396 thalamus, are reciprocally interconnected (Morin and Blanchard, 1998). In addition,
397 there is a strong relationship between the IGL and SCN not only because of their robust
398 connection through the geniculohypothalamic tract, but because the two nuclei project
399 to nearly all the same hypothalamic locations (Morin, 2013a). Except for the OPT, there
400 are no direct retinal projections to brain stem regions associated with sleep regulation.
401 Therefore, it is most likely that the critical input pathway for photosomnolence involves a
402 relay in one or more of the hypothalamic nuclei to the sleep regulatory system, with the
403 SCN being the prime candidate.

404

405 Lesions of Retinorecipient Nuclei and Light-induced Locomotor Suppression

406 From a functional perspective, virtually none of the regions thought to be
407 elements of the sleep system have been evaluated for their contribution to light-induced
408 sleep. Theoretically, a lesion that destroys an essential part of the circuitry through

409 which photosomnolence is elicited could prevent both light-induced locomotor
410 suppression and the associated sleep. For example, genetic elimination of all known
411 photoreception prevents light-induced locomotor suppression and sleep (Hattar et al.,
412 2003; Panda et al., 2003; Altimus et al., 2008). Similarly, the brain lesion approach has
413 been used to test function of several parts of the visual system including the visual
414 cortex, pretectum, IGL, dorsal lateral geniculate and SCN (Redlin et al., 1999; Edelstein
415 and Mrosovsky, 2001; Redlin et al., 2003). In contrast to the effect of photoreceptor
416 destruction, damage to the listed brain areas generally has no effect or actually
417 increases locomotion. The exception is the SCN where complete lesions block light-
418 induced locomotor suppression (Li et al., 2005) (but see Redlin and Mrosovsky (1999a)
419 who concluded that SCN absence does not block such suppression; see also Morin
420 (2013b) for additional references and discussion of the possibility that the latter lesions
421 may not have been complete). Although the anatomical emphasis is on the SCN,
422 retinorecipient peri-SCN areas cannot be excluded as contributors to the regulation of
423 photosomnolence (Morin, 2013b).

424 Whether or not the SCN serves as a synaptic relay for retinal input to the sleep
425 regulatory system, it is involved in the expression of photosomnolence. Redlin and
426 Mrosovsky (1999b) found, using conditions contrived to facilitate activity at all times of
427 day, that a circadian clock regulates the magnitude of light-induced hamster locomotor
428 suppression. Similarly, the light-induced decline in mouse Tb is strongly modulated by
429 the circadian clock (Studholme et al., 2013), and the two effects in the different species
430 are maximal at approximately the same circadian time. In the absence of functional

431 molecular clockworks, light continues to induce locomotor suppression (Van der Horst
432 et al., 1999; Mrosovsky, 2001) indicating that the circadian clock *per se* is not
433 necessary for the locomotor response to light; sleep has not been examined.

434

435 First Order (Direct) Retinal Projections - The direct retinal projection to the ventrolateral
436 preoptic area (VLPO; Fig. 3A), a location from which sleep is thought to be activated
437 (Sherin et al., 1996; Lu et al., 2000; McGinty and Szymusiak, 2001), is the most
438 obvious candidate for photic sleep induction. This first-order input route is rendered less
439 likely as a contributor to photosomnolence by virtue of the fact that detectable VLPO
440 afferents from mouse retina are very sparse (Hattar et al., 2006; Morin and Studholme,
441 2014b), although they are less so in the hamster (Morin and Blanchard, 1999).
442 Nocturnal light exposure induces FOS RNA synthesis in mouse VLPO neurons (Lupi et
443 al., 2008), but it is presently impossible to know whether this is a result of direct or
444 indirect photic input.

445

446 Second Order Projections to VLPO - Given the very dense retinal innervation
447 throughout the SCN (Muscat et al., 2003; Hattar et al., 2006; Morin et al., 2006; Morin
448 and Studholme, 2014b) and its widespread projections within the diencephalon (Watts
449 and Swanson, 1987; Watts et al., 1987; Kalsbeek et al., 1993; Morin et al., 1994;
450 Morin and Blanchard, 1999; Morin, 2013a), the SCN is a prime candidate for relaying
451 second order photic information to the sleep system (Fig. 3A). The SCN and nearby

452 retinorecipient regions, particularly the retrochiasmatic area (RCh) and
453 subparaventricular zone (sPa), send efferents to much of the medial hypothalamus,
454 including the VLPO (Morin et al., 1994; Kriegsfeld et al., 2004). As with the direct retinal
455 projection to the VLPO, the SCN projection might mediate photosomnolence (it provides
456 both excitatory and inhibitory input (Sun et al., 2000; Sun et al., 2001), but it is also
457 sparse and, based on size alone, may contribute little to the light-induced response
458 (Novak and Nunez, 2000; Chou et al., 2002). Similar issues pertain to projections from
459 other retinorecipient peri-SCN hypothalamic structures (reviewed in Morin (2013a)).

460

461 Third Order Connections - Third order photic inputs to the VLPO (Fig. 3B) may be more
462 likely contributors to sleep induction and locomotor suppression. Nuclei innervated by
463 the SCN and which project heavily to the VLPO include the medial preoptic area (MPA),
464 dorsomedial hypothalamic nucleus (DM), RCh, sPa, and perifornical hypothalamus
465 (Deurveilher et al., 2002; Deurveilher and Semba, 2003; Deurveilher and Semba,
466 2005).

467 Additionally, transneuronal tract tracing procedures have demonstrated at least
468 two distinct pathways from the SCN to other nuclei of the sleep system. In one (Fig. 3B),
469 the SCN projects to the locus coeruleus where it may modulate arousal (Aston-Jones et
470 al., 1995; Luppi et al., 1995; Aston-Jones et al., 2001). The second such route reaches
471 the ventral tegmental area from the SCN (Fallon and Loughlin, 1995; Geisler and
472 Zahm, 2005; Luo and Aston-Jones, 2009; Tsujino and Sakurai, 2009). The effects of

473 neurotoxic lesions targeting cell bodies suggest that the DM and the MPA, respectively,
474 are relay nuclei in these circuits.

475

476 Orexin (ORx) Pathways - The ORx (or hypocretin) cells in the lateral hypothalamus are
477 direct targets of the SCN and constituents of the sleep system in that they mediate
478 arousal (Saper et al., 2010). The ORx projection pattern encompasses virtually all brain
479 regions thought to be involved in sleep generation and regulation (Table 1; see Ohno
480 and Sakurai (2008); Morin (2013a)). Phase of the oscillatory cellular SCN network
481 (Hong et al., 2012; Freeman et al., 2013) is set by the prevailing photoperiod and the
482 SCN output, in turn, appears to set the phase of ORx neurons (Deboer et al., 2004;
483 Zhang et al., 2004; Martinez et al., 2009; Mahoney et al., 2013). A direct projection
484 from the SCN to ORx neurons (Fig. 3B) has been reported, with many of the fibers
485 originating in VIP cells of the SCN (Abrahamson et al., 2001; Delaunay et al., 2009).
486 The SCN efferents largely innervate medial and central ORx cells, with little input to
487 those located laterally (Yoshida et al., 2006). SCN projections would be presumed to be
488 GABAergic (Moore and Speh, 1993; Abrahamson and Moore, 2001; Morin and
489 Blanchard, 2001) and largely inhibitory (but see Freeman et al. (2013) for discussion of
490 alternate possibilities). It should also be noted that there is feedback from ORx neurons
491 onto the SCN (Table 1). ORx release has direct and indirect inhibitory effects on SCN
492 activity, and may be a key means by which sleep/arousal-related stimuli modify
493 circadian rhythm function (Belle et al., 2014).

494 A multisynaptic, sleep regulatory route likely involving the VLPO and the ORx
495 system has been postulated based on the results of axon-sparing neurotoxic lesion
496 studies of the DM (Chou et al., 2003; Saper et al., 2010). This route consists of serial
497 connections between the retina, SCN, sPa, DM, VLPO and from there, caudally to the
498 perifornical lateral hypothalamic area (Fig. 3B). ORx neurons in this region receive input
499 from VLPO neurons (Yoshida et al., 2006). This proposed avenue from the SCN may
500 provide entrainment-related information to the sleep system (Saper et al., 2010).
501 Inhibitory input arriving directly or indirectly from the GABAergic SCN neurons could
502 make the ORx system responsible for time-of-day-dependent nonREM sleep
503 (Tsunematsu et al., 2011).

504 The brain stem sleep-regulatory nuclei known to receive projections from ORx
505 neurons (Table 1) are reciprocally connected with the IGL (Horowitz et al., 2004; Morin
506 and Blanchard, 2005). This creates a potential feedback loop whereby the sleep-related
507 physiology may modify function of the SCN circadian clock which is accessible via the
508 geniculohypothalamic tract originating in the IGL (Deboer et al., 2003; Houben et al.,
509 2009; van Oosterhout et al., 2012b; Morin, 2013a). Complicating matters further is the
510 fact that the IGL itself is the recipient of ORx input (see Morin (2013a) for discussion
511 and references). This opens the possibility that the ORx system has both direct and
512 indirect access to caudal sleep-regulatory nuclei, as suggested by its apparent influence
513 on neurons in the dorsal raphe nucleus (Adidharma et al., 2012).

514

515 Melanin-Concentrating Hormone (MCH) Pathways – The lateral hypothalamic region
516 also contains a collection of neurons identifiable by their MCH content. The distribution
517 of these cells is broad and overlaps the distribution of ORx cells, but without
518 neuromodulator co-localization (Broberger et al., 1998; Elias et al., 1998; Vidal et al.,
519 2005). MCH and ORx neurons innervate many brain regions most of which receive
520 input from each cell type (Table 1; Bittencourt et al. (1992); Elias et al. (2008); Morin
521 (2013a)). In addition, activity of MCH cells is anti-phase to ORx cell activity (Hassani et
522 al., 2009) and the two neuron types appear to be reciprocally connected (Bayer et al.,
523 2002).

524 Recent developments involving MCH have been the subject of significant
525 discussion (Peyron et al., 2011; Fraigne and Peever, 2013; Jengo and Adamantidis,
526 2013; Jones and Hassani, 2013; McGinty and Alam, 2013; Monti et al., 2013; Pelluru
527 et al., 2013). MCH knockout mice are more active and sleep less than wild type mice
528 (Willie et al., 2008; Takase et al., 2014). Furthermore, optogenetic stimulation of MCH
529 cells during the mouse nocturnal active phase increases sleep at that time (Konadhode
530 et al. (2013), but see Tsunematsu et al. (2014) for a differing view). This result may be
531 analogous to light-induced sleep. Collectively, the results suggest the presence of a
532 sleep/arousal regulatory circuit, key elements of which are the MCH and ORx neurons.

533

534 MCH and ORx Interactions – One hypothesized version of MCH/ORx cell interaction
535 (Pelluru et al., 2013) is that sleep-active preoptic neurons and lateral hypothalamic MCH
536 cells enable sleep through inhibition of arousal-inducing neurons, including those of the

537 ORx complex (Rao et al., 2008; Konadhode et al., 2013; Pelluru et al., 2013). A sleep
538 episode may terminate because of MCH cell auto-inhibition and the wake interval may
539 end as the ORx cells activate MCH neurons. Ultimately, the likelihood of sleep or wake
540 may be related to the relative balance of ORx and MCH cell activity, although a direct
541 sleep induction effect of MCH acting in the VLPO has been reported (Benedetto et al.,
542 2013). It should also be noted that both ORx and MCH cells have been implicated in the
543 regulation of metabolic and behavioral (feeding) responses to glucose signals. As with
544 the conceptual framework underlying the relationship between ORx and MCH with
545 respect to arousal and sleep, the two neuromodulators are thought to act in a
546 complementary manner to promote and reduce energy expenditure (Barson et al., 2013;
547 Pelluru et al., 2013). This information emphasizes the point that sleep regulation is not
548 the exclusive function of ORx and MCH cells. Each neuromodulator influences multiple
549 behavioral and physiological systems (Leonard and Kukkonen, 2014; Takase et al.,
550 2014).

551 Presently, there is substantial imbalance in the research literature concerning the
552 sleep-related activities of MCH and ORx cells. Investigation of MCH lags far behind
553 ORx studies. For example, there is no information regarding whether or not MCH cells
554 are innervated directly or indirectly by the retina. Nevertheless, because there appears
555 to be a potent role for MCH in sleep/arousal regulation, especially in relationship to ORx
556 cell function, the existing imbalance in favor of ORx is likely to be greatly eroded in the
557 next few years.

558

559 Additional Anatomical Considerations – Several issues cloud the potential importance of
560 the ORx or MCH systems with respect to light-alteration of sleep and arousal. The first
561 is whether a neurohumoral signal elicited by retinohypothalamic input and emanating
562 from the SCN might mediate light-induced sleep (cf., Silver et al. (1996)). The notion of
563 neurohumoral signaling has a certain appeal because it has been notoriously difficult to
564 demonstrate, using knife cut procedures, that particular SCN efferent projections
565 regulate circadian rhythmicity (Nunez and Stephan, 1977; Inouye and Kawamura,
566 1979; Nunez and Casati, 1979; van den Pol and Powley, 1979; Brown and Nunez,
567 1986; Hakim et al., 1991; Harrington et al., 1993). In fact, SCN neural efferents may be
568 more important for rhythmic endocrine function than governance of locomotor activity
569 (Watts et al., 1989; Meyer-Bernstein et al., 1999).

570 A second issue concerns the presence of ORx in numerous retinal cell types,
571 including ganglion cells that contain melanopsin photopigment and project to the SCN
572 (Liu et al., 2011). This unexpected observation complicates interpretation of the
573 functional neuroanatomical data, especially information obtained from studies in which
574 systemically administered ORx agonists/antagonists are used as probes of the ORx
575 system. Observed behavioral changes could be the result of a direct drug effect on
576 either the retina or brain. Additionally, ORx released in the SCN from
577 retinohypothalamic tract terminals could theoretically induce behavioral change which
578 would not involve input from lateral hypothalamic ORx neurons.

579 Thirdly, numerous neuropeptides are present in cells of the lateral hypothalamic
580 region (e.g., Gerashchenko and Shiromani (2004); Horjales-Araujo et al. (2014)). At

581 least one of these, dynorphin, is almost completely co-localized with ORx (Chou et al.,
582 2001; Bayer et al., 2002) (but see (Harthoorn et al., 2005)). Dynorphin tends to be
583 inhibitory, ORx excitatory and the two neuropeptides are able to modulate each other's
584 actions (Li and van den Pol, 2006). Each induces changes in physiology and behavior
585 which are not necessarily related to sleep and arousal (Li et al., 2014). Given the variety
586 and, likely growing, number of neuropeptides in lateral hypothalamic cells and the
587 possible interactions between them, it will be time consuming to sort out the importance
588 of the each relative to the various functions they collectively modulate.

589

590 **Practicalities for Sleep Research (1): Photosomnolence Induction by Simple**

591 **Stimuli**

592 Mrosovsky typically employed 30 or 60 min light pulses to suppress nocturnal
593 locomotion in mice and hamsters (Redlin and Mrosovsky, 1999b; Mrosovsky et al.,
594 2000; Mrosovsky et al., 2001; Mrosovsky and Hattar, 2003), but millisecond stimuli are
595 sufficient (van den Pol et al., 1998; Arvanitogiannis and Amir, 1999; Morin and
596 Studholme, 2009; Morin et al., 2010; Morin and Studholme, 2011; Studholme et al.,
597 2013). Numerous studies have shown the magnitude of locomotor suppression to be
598 dependent on irradiance (Mrosovsky et al., 1999; Redlin and Mrosovsky, 1999b;
599 Mrosovsky et al., 2000; Mrosovsky et al., 2001; Mrosovsky and Hattar, 2003;
600 Thompson et al., 2008; Morin et al., 2010). The precise combination of irradiance, flash
601 duration, number of flashes and inter-flash interval that will elicit maximal suppression is

602 not yet known, although 4 flashes (2 msec each) with a 16 sec inter-flash interval yields
603 a robust response (Morin and Studholme, 2009).

604 For the sake of methodological convenience, a 5 min bright (e.g., 100 $\mu\text{W}/\text{cm}^2$)
605 light pulse is most useful because of the typical ease with which it can be delivered and
606 the fact that it elicits responses (Figs. 1, 2) equivalent to those produced by a series of
607 millisecond flashes (Morin and Studholme, 2009; Morin and Studholme, 2011). In most
608 studies of photosomnolence, a brief light pulse or a series of flashes delivered over a
609 brief interval is likely to be preferred to either type of photic stimulus delivered over a
610 longer interval (30-60 min). The longer stimuli may unduly complicate interpretation of
611 locomotor suppression or sleep induction experiments because of the additivity effects
612 discussed above (Morin and Studholme, 2014a).

613 It is worth emphasizing that photosomnolence is a very practical research
614 endpoint in studies of sleep induction and regulation in mice or hamsters, although a
615 less robust form of it may also occur in rats (Borbély et al., 1975; Borbély, 1978). First,
616 in mice and hamsters, induction of sleep is extremely simple, involving only brief light
617 exposure. Second, the timing of the induced sleep is very much under the investigator's
618 control. Third, there is very little uncertainty regarding the timing of induced sleep
619 because it occurs with a short latency after stimulus onset. Fourth, the induced sleep
620 endures for a relatively fixed interval amenable to study across its duration. Fifth,
621 "arousal" or recovery from sleep can easily be studied as part of the research protocol.
622 Sixth, the pattern of photosomnolence is sufficiently stable and invariant within and
623 across individuals that it is an excellent baseline of behavior against which the effects of

624 drugs or other experimental manipulations can be compared. Finally, and especially
625 with respect to point 6, any method that quantifies locomotion, including wheel running
626 measures, is likely to provide an index of sleep behavior that is adequate for many
627 studies.

628

629 **Practicalities for Sleep Research (2): Simplified Behavioral Sleep Assessment**

630 Pack et al. (2007) devised a simple, effective video-based method for translating
631 the amount and timing of open field locomotion into an index of sleep behavior. With it,
632 animals are dichotomized as being asleep or awake according to easily defined
633 behavioral criteria. Both Pack et al. (2007) and Fisher et al. (2012) note the method's
634 practicality for the rapid, simultaneous evaluation of sleep by numerous individuals. The
635 results of video-based locomotor analysis have a very high correlation with EEG-
636 determined sleep, although they are not useful for assessment of the REM component.
637 Video-based sleep analysis has now been successfully employed by additional
638 investigators (Morin and Studholme, 2011; McShane et al., 2012; Studholme et al.,
639 2013). Other procedures devised to measure correlates of behavioral sleep such as the
640 respiration pattern have also been established as useful alternatives to the EEG (Flores
641 et al., 2007; Donohue et al., 2008; Zeng et al., 2012; Rowe et al., 2014). It is also
642 important to note that none of these procedures require surgery.

643 As emphasized by Pack et al. (2007) and Fisher et al. (2012), video-based
644 analysis is particularly useful for testing sleep responses to pharmaceuticals. One
645 example is a recent investigation that evaluated the effects of psychostimulant drugs on
646 photosomnolence, as estimated from open field locomotion (Vivanco et al., 2013). Each
647 of three drugs administered to mice several hours prior to exposure to the photic test
648 stimulus caused acute hyperactivity (Fig. 4). However, by the time of light exposure, the
649 hyperactivity induced by modafinil or methamphetamine had ceased and the caffeine-
650 induced *hyperactivity* of the third group had been replaced by significant *hypoactivity*.
651 Most importantly, regardless of the level of activity at the time of light exposure, all three
652 drugs prevented photosomnolence and the expected drop in Tc.

653 Such results re-direct the research pathway back toward the ORx and MCH
654 functions. The pharmacological activities of all three psychostimulant drugs are thought
655 to involve the dopamine neurotransmitter system. MCH is known to act on this system
656 as a partial dopamine D1 receptor agonist (Alberto et al., 2011). Stimulation of the D1
657 receptors suppresses sleep attacks and promotes wakefulness in mice lacking ORx
658 (Burgess et al., 2010). Absence of the MCH1 receptor induces dopamine
659 supersensitivity and locomotor hyperactivity (Smith et al., 2005; Smith et al., 2008).
660 Dopamine receptors are also known to mediate light-induced locomotor suppression
661 (Doi et al., 2006) and several dopamine-related circuits might be involved in the
662 suppression response (see Vivanco et al. (2013) for discussion).

663

664 **Conclusion**

665 Nocturnal light influences multiple systems governing physiology and behavior
666 (Borbély and Huston, 1974; Morin, 2013b). These include sleep, locomotor
667 suppression, body temperature, melatonin suppression, circadian rhythm phase control,
668 adrenal corticoid production and possibly others. In fact, the identical photic stimulus
669 can have simultaneous effects on each system. Even in humans this may be the case,
670 but with effects befitting the diurnality of the species. In particular, nocturnal millisecond
671 light stimuli induce phase shifts in humans, while simultaneously reducing EEG-sleep
672 and increasing alertness (Zeitzer et al., 2011). Because of the influence of light on
673 multiple systems, it is unlikely to be a simple task to distinguish the extent of functional
674 independence of each system or the inter-dependence of several systems. The most
675 obvious fact about the various light-modulated systems is that they oscillate under the
676 influence of the SCN circadian clock and, through that mechanism, they entrain to the
677 daily photoperiod. The impact of each oscillatory system, such as the light-entrained
678 rhythm of adrenal hormone secretion, on the function of the others (such as sleep), is
679 more difficult to see at the present time.

680 A model has been proposed (Morin, 2013b) in which the SCN, site of the primary
681 central circadian clock and chief component of the circadian rhythm system, is the first
682 order target of photic information affecting the response of each photically modulated
683 system. According to the present understanding, there is little or no distinction between
684 the first order retinorecipient SCN cells and the cells comprising the circadian clock.
685 However, light can induce locomotor suppression in mice lacking circadian clock

686 function (Van der Horst et al., 1999; Mrosovsky, 2001). All other behavioral or
687 physiological systems in which change is induced by nocturnal light are expected to be
688 rhythmically modulated targets of the SCN circadian clock. To date, there has been no
689 effort to relate light-induced changes in SCN cell activity to locomotor suppression and
690 photosomnolence, although there is substantial information about SCN cell response to
691 light (Meijer and Rietveld, 1989; Meijer and Schwartz, 2003; Drouyer et al., 2007; van
692 Oosterhout et al., 2012a). In addition, no neurophysiological studies concerned with any
693 light-regulated function of the SCN have considered the possibility that one or more of
694 those functions (e.g., sleep induction) might have feedback effects on the cellular SCN
695 activity being evaluated (e.g., Deboer et al. (2003)).

696 With respect to the sleep generation and regulatory system, it is not clear how
697 the light-induced change in Tc contributes to the likelihood of either locomotor
698 suppression or sleep behavior. Despite the fact that there is substantial information
699 supporting a role for thermoregulation in the control of normal sleep, the observed light-
700 induced Tc and sleep responses could very well be parallel, but otherwise independent,
701 event sequences. Considering that each system may have its own control circuitry, it is
702 theoretically possible to separately destroy the pathways regulating each such system.
703 This research tactic has been applied, without much success, in numerous studies
704 designed to determine the general route of SCN-efferent projections providing temporal
705 information to downstream systems generating the locomotion seen in circadian wheel
706 running rhythms. An advantage of the light-induction approach to sleep research is that
707 the normal response is of the fairly clear all-or-none variety and one would expect fairly

708 precise, properly placed, lesions to simply prevent photosomnolence. Although this
709 approach has been applied to retinorecipient thalamus and midbrain, it has generally
710 not been applied to hypothalamic structures other than the SCN.

711 Supra-threshold nocturnal photic stimulation results in behavioral state change
712 with animals switching rapidly from aroused and highly active to inactive and asleep
713 (Morin and Studholme, 2011). Although the exact latency to onset of the state change
714 has not been determined, it is likely under a minute. At the level of the regulatory brain
715 nuclei inducing sleep in response to photic input, function will change as if a switch has
716 been thrown. The logic and literature supportive of “sleep state switching” has been
717 discussed in detail by Saper and colleagues (Saper et al., 2001; Saper et al., 2010).
718 The premise is that collective action by one cell group inhibits a counteractive set of
719 cells, turning them off in favor of the function of the inhibiting group. State change can
720 occur when inhibition causes back and forth “flip-flop switching” between the current
721 and prior states. Light-induced state change would appear to be efficiently and
722 conveniently amenable to the study of sleep state switching precisely because light is a
723 known trigger that is likely coordinating downstream cellular activity, while sharply
724 reducing the variability in the sleep state transition time (see Saper et al. (2010) for
725 discussion).

726 From a perspective of pure sleep research, further research is needed to clarify
727 the nature of photosomnolence. For example, is the sleep response elicited by light
728 “normal” in all its EEG characteristics? Is it additive to sleep homeostasis (e.g., provide
729 a sleep accrual benefit to animals suffering from a sleep deficit)? If the answers to these

730 questions are not in the affirmative, what is the significance of photosomnolence to
731 sleep research and the understanding of sleep management?

732 In the future, a mixture of anatomical and functional investigations will likely
733 provide a clear description of the photic input pathways to the sleep system. A research
734 strategy designed to determine the neural pathways by which photic input reaches and
735 activates the sleep system would seem not only practical, but applicable to the other
736 behavioral and physiological systems modulated by nocturnal light. It seems appropriate
737 to end by reiterating the final point in Borbély's review:

738

739 “Light, a natural environmental variable, constitutes a promising tool for
740 experimental investigation of sleep and activity, and their rhythmic variations.”
741 (Borbély (1978) p. 26).

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1226

FIGURE/MULTIMEDIA CAPTIONS

1227 Multimedia 1. This video shows a hamster photosomnolence response (10 flash
1228 stimulus, each 2 msec long, delivered at equal intervals over 5 min) filmed under
1229 infrared illumination. Photosomnolence of animals with access to running wheels is
1230 measured as the interval between running offset and resumption of running. The video
1231 has been edited purely for purposes of limiting its length. To this end, some of the light
1232 flashes and many minutes of video showing a sleeping hamster have been omitted. A
1233 similar video of a mouse is provided as supplementary material to Morin and Studholme
1234 (2009). Both videos are representative, although the prevalence of post-
1235 photosomnolence stretching is not known or whether it is specific to the hamster.

1236

1237 Figure 1. Simultaneously recorded patterns of wheel running (RPM; black solid lines)
1238 and core body temperature (red broken lines) in three individual mice housed under
1239 LD12:12 and, during the night the data were collected, exposed at ZT13 (time 0 is
1240 stimulus onset) to (A, B) a 5 min light pulse (narrow white area) and (C) a 1 hr light
1241 pulse (broad white area). The arrowheads in (A, B) indicate portions of the records
1242 during which T_c has risen in advance of recovered locomotion. The asterisk in (C)
1243 identifies a rise in T_c and an aborted return to normal. Despite the increase in T_c , the
1244 simultaneously recorded wheel running does not correspondingly increase from its level
1245 of complete suppression during light exposure. After Fig. 3 in Studholme et al. (2013).

1246

1247 Figure 2. Wheel running rate by (A) non-photostimulated mice (CON); (B) mice that
1248 received 10 light flashes, 2 msec each, with 30 sec inter-flash intervals (IFI); and (C)
1249 mice that received a single 5 min light pulse. Photic stimulation began at time 0. The
1250 filled squares/red dotted line indicates the behavior of mice lacking melanopsin (OPN4-
1251 /-). The rd/rd mice (open triangles/green dashed line) lack rods or cones; WT = wild type
1252 controls (filled circles/black solid line). In (B) and (C), the OPN4-/- mice show a rapid
1253 locomotor suppression effect that is soon followed by erratic levels of locomotion, rather
1254 than the typical complete suppression seen in WT and rd/rd mice. After Fig. 3 in Morin
1255 and Studholme (2011).

1256

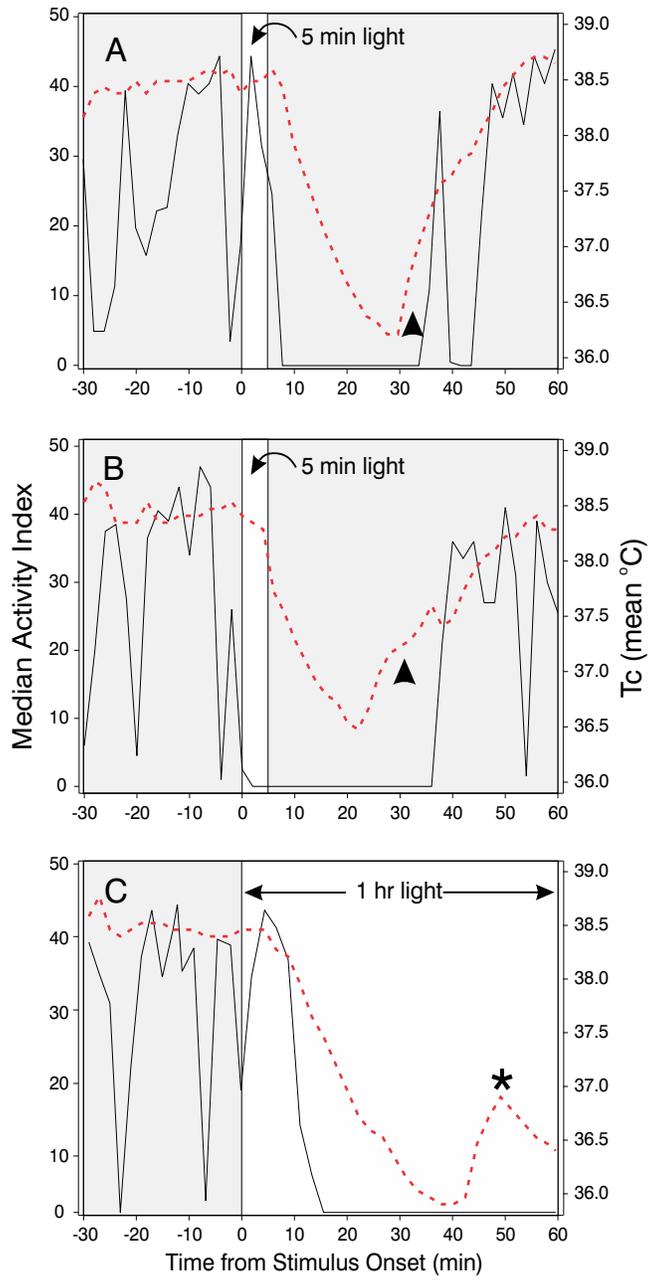
1257 Figure 3. (A) Retinal projections, retinorecipient nuclei and second order afferents to the
1258 VLPO. Black pathways -- First order retinal projections to basal forebrain, thalamic and
1259 visual midbrain nuclei (thick black outlines in both (A) and (B)). Red pathways -- Second
1260 order projections afferent to the VLPO from retinorecipient nuclei. Projection density
1261 roughly corresponds to arrow line thickness. (B) Connections between forebrain nuclei
1262 that may be providing second or third order retinal input to the sleep regulatory system.
1263 Solid red pathways -- Virally traced projections from the SCN with at least one known
1264 synapse (red circles). Broken red pathways -- possible routes from the SCN to the
1265 VLPO involving a probable, but not identified, synapse (large broken red circles). Solid
1266 black pathways -- Projections to ORx cells (after Yoshida et al. (2006); there is no
1267 similar information for MCH cell innervation). Dotted black pathways -- Other projections
1268 to and from sleep-regulatory nuclei. **Note:** *all nuclei* identified in the figure are

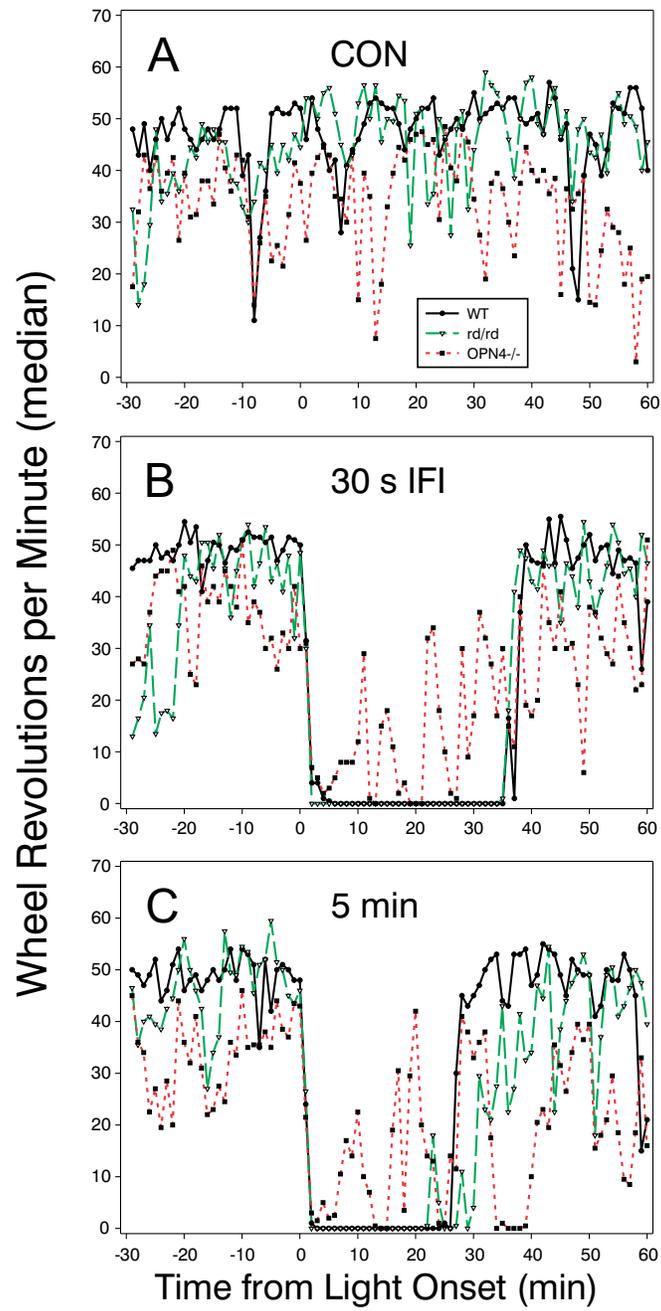
1269 innervated by both ORx and MCH cells (See Table 1). See Table 2 for anatomical
1270 abbreviations and the text for references. These schematics are not intended to exclude
1271 any other possible arrangement of connections between the visual and sleep systems.

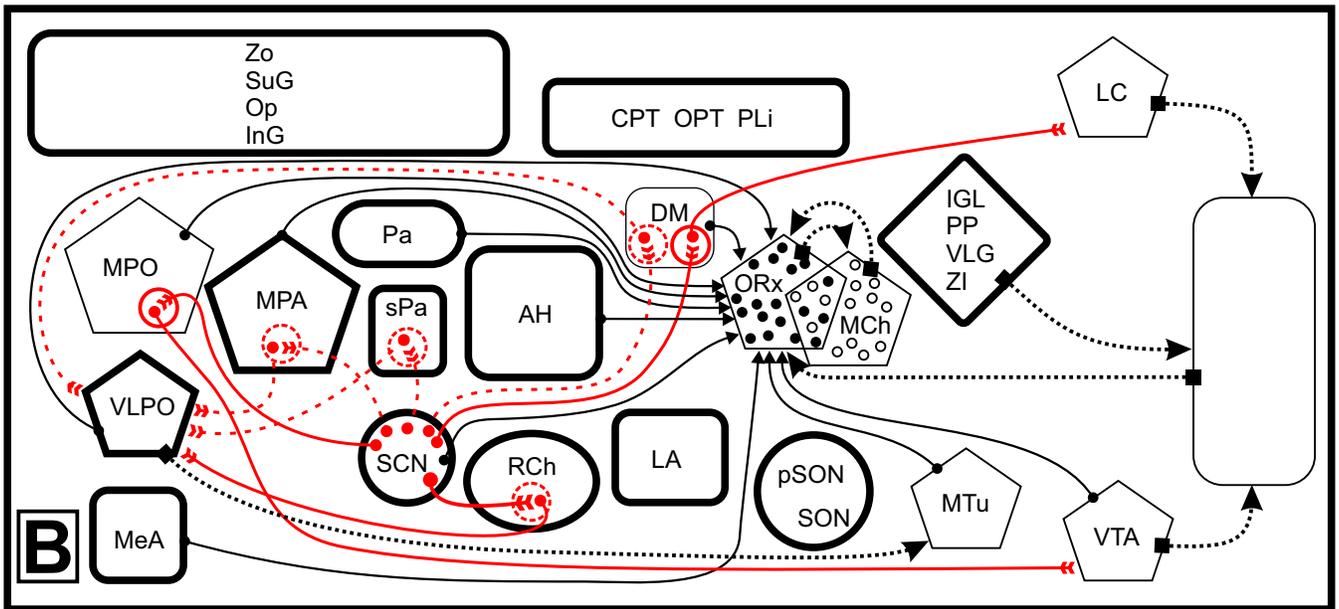
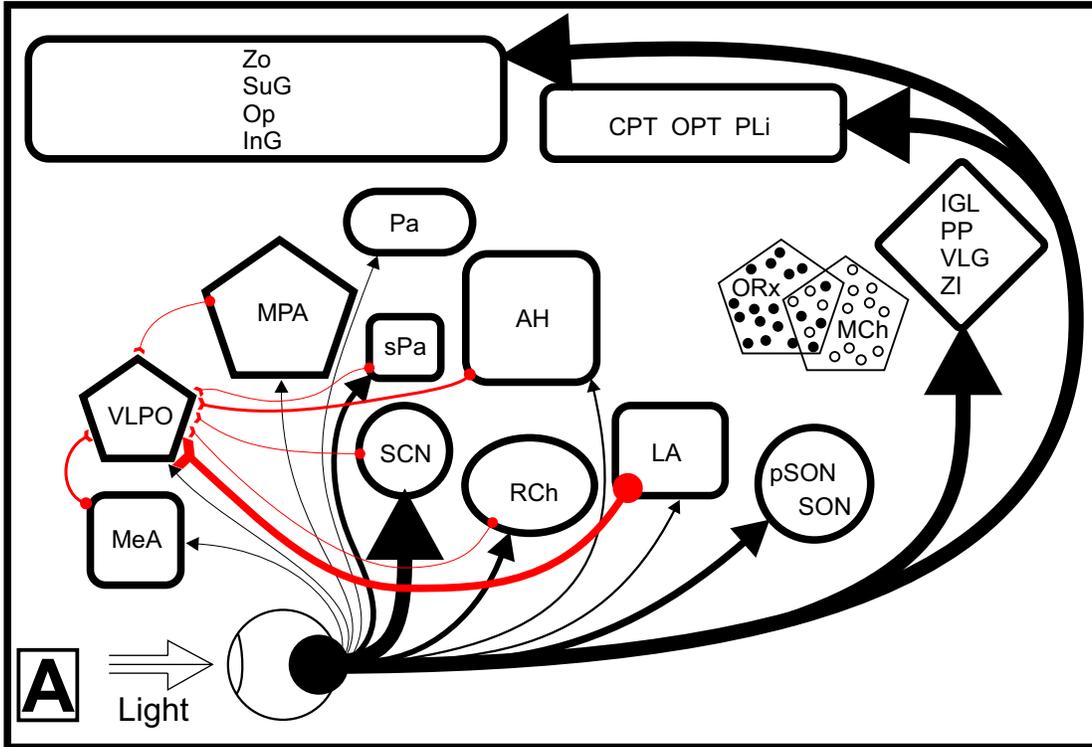
1272

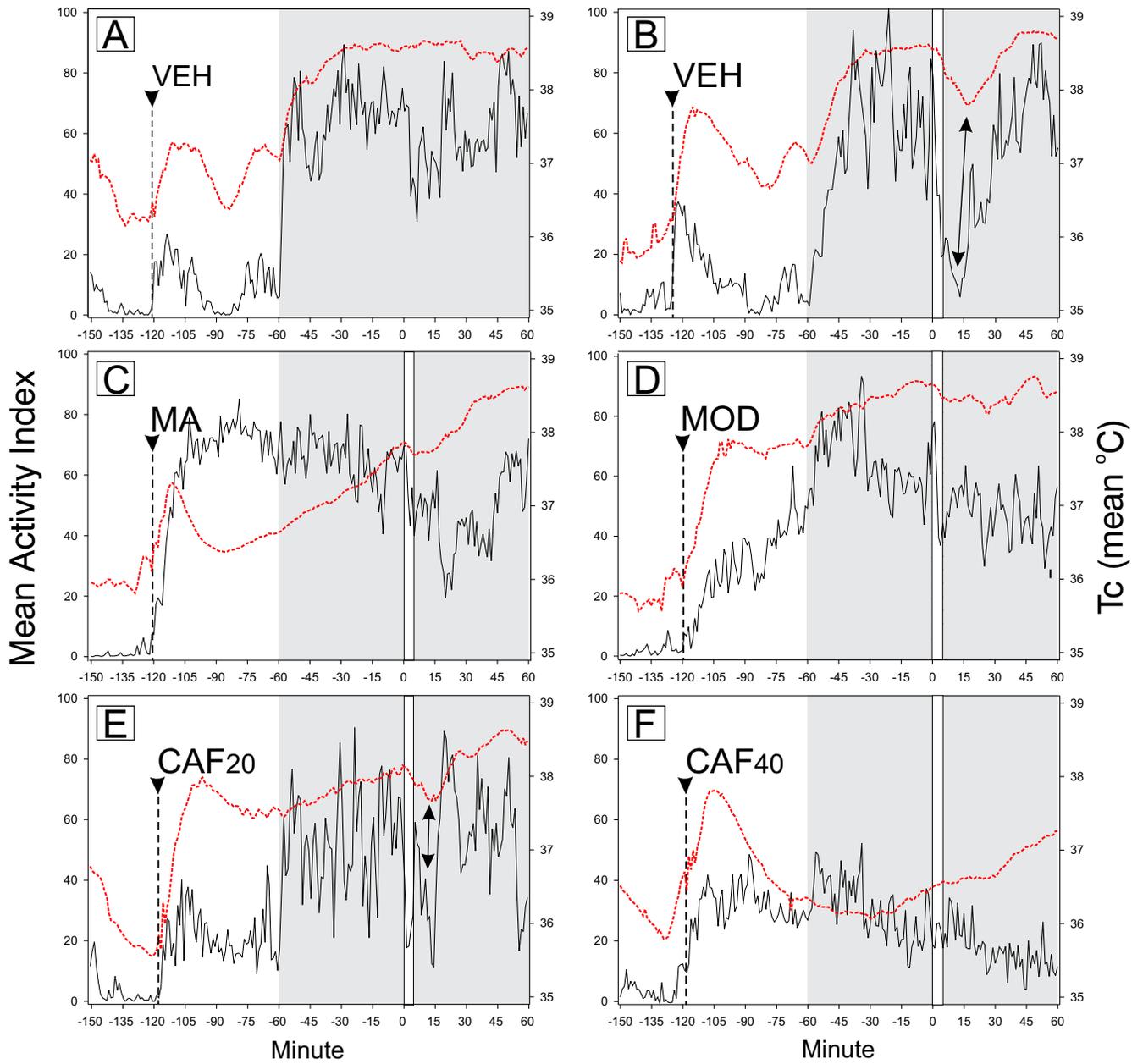
1273 Figure 4. Mean simultaneously recorded activity indices (solid lines; motion detected
1274 from video) and core body temperatures (broken lines for groups of mice injected with
1275 (A,B) vehicle (VEH),(C) Methamphetamine (MA), (D) Modafinil (MOD), (E,F) Caffeine
1276 (CAF), 20 and 40 mg, respectively. The times of injection are indicated by the
1277 arrowhead and broken vertical line. The daylight period (white area) is to the left and
1278 dark period is to the right (shaded area). In (A), no light pulse was administered. In (B-
1279 F), a 5 min light pulse (vertical white area) began at time 0. The light-induced drop in
1280 mean locomotor activity and T_c is evident in (B) (double headed arrow) and less so in
1281 (E). Modified from Figs. 5-7 in Vivanco et al. (2013); see for details.

1282









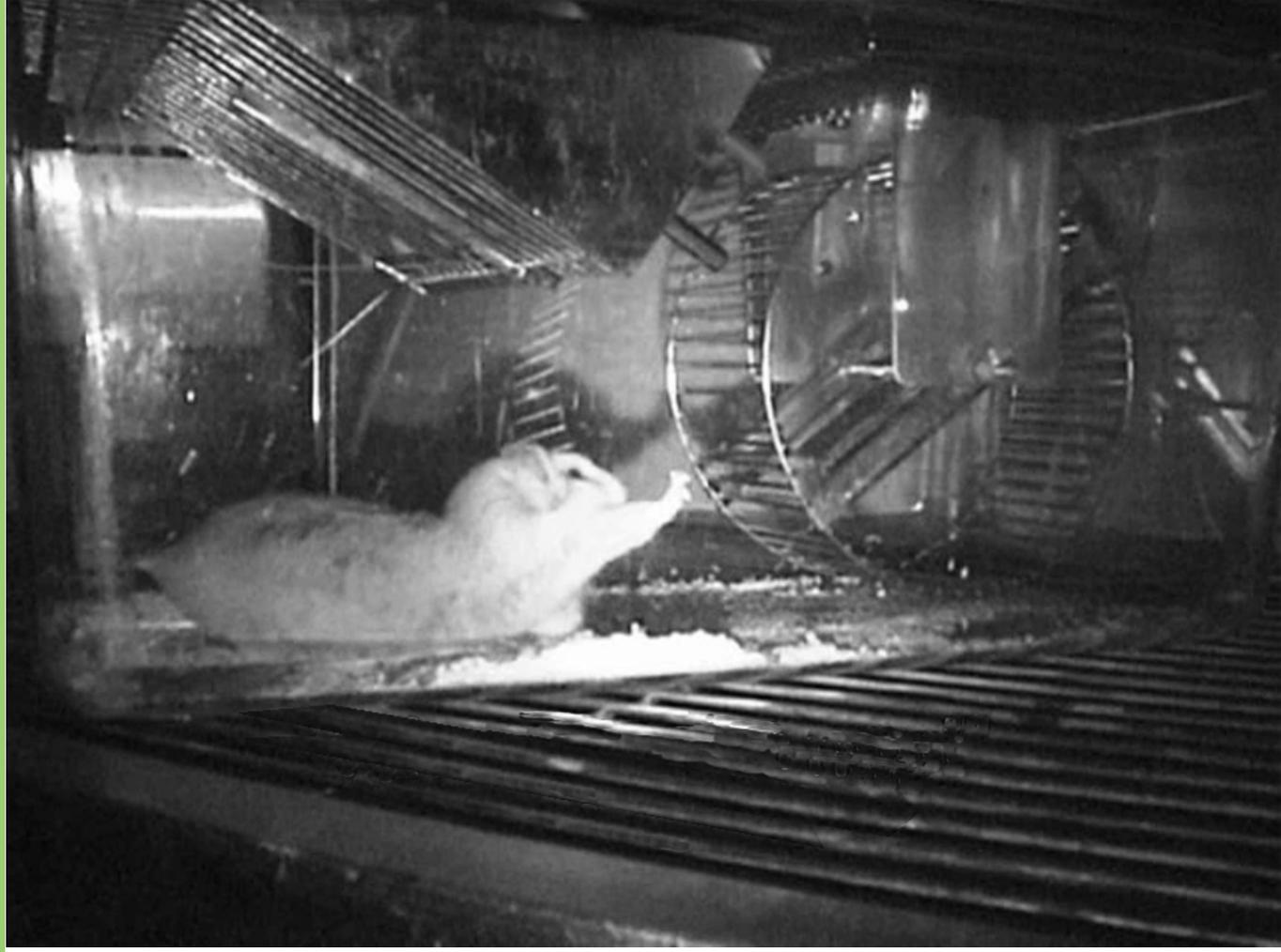


Table 1. Retinoreciprocity of selected brain regions and their innervation by orexin or MCH cells.

Brain Region	Retinal Input ^a	Orexin Terminals ^b	MCH Terminals ^c
Sleep/Circadian-related			
DM	–	++++	+++
DPGi	–	++	+++
DR	–	++++	+++
IGL	++++	++++	++ ^c , – ^e
LC	–	+++++	++
LDTg	–	+	++
LDTgV	–	+	++
MnR	–	++++	+++
MPA	±	+++	++
MPO	–	+++	++
MTu	–	+++	++++
OPT	++++	–	++
PNo	–	+	++
PPTg	–	++	+++
SCN	+++++	+	++
SubCA	–	+	+++
VLPO	±	+	+
VTA	–	+	+++
Other Retinorecipient			
CPT	+++	+++ ^d	++
DLG	+++++	–	++
HDB	+	++	++++
InG	+++	+ ^d	++
MeA	+	+++	+
MT	+++++	– ^d	++
Op	+++++	+ ^d	++
PHb	+++	+ ^d	++
PLi	++	++ ^d	++
RCh	++	++++	++
sPA	++	+++	++
SuG	+++++	– ^d	+++
Zo	+++++	– ^d	+

Estimated Density: extremely dense +++++; dense ++++; moderate +++; modest ++; sparse +; very sparse ±; none –

^a From Table 1 in Morin and Studholme (2014b)

^b Hamster data from Table 1 in Mintz et al. (2001) and Table 1 in Horowitz et al. (2005) with reference to corresponding photomicrographs to resolve nomenclature ambiguities between Mintz et al. and Horowitz et al.

^c Rat data from Table 2 in Bittencourt et al. (1992) or reference to corresponding photomicrographs to resolve nomenclature ambiguities.

^d New data from direct examination of archived hamster tissue (Morin and Blanchard, unpub.).

^e Hamster data from Vidal et al. (2005).

AH	anterior hypothalamic n.
CPT	commissural pretectal n.
DLG	dorsolateral geniculate n.
DM	dorsomedial hypothalamic n.,
DPGi	dorsal paragigantocellular n.
DR	dorsal raphe n.
HDB	diagonal band n., horizontal limb
IGL	intergeniculate leaflet
InG	intermediate gray layer, superior colliculus
LA	lateroanterior hypothalamic n.
LC	locus coeruleus
LDTg	lateral dorsal tegmental n.
LDTgV	lateral dorsal tegmental n., ventral
LPBC	lateral parabrachial n., central part
MeA	medial amygdala n., anterior
MnR	median raphe n.
MPA	medial preoptic area
MPO	medial preoptic n.
MT	medial terminal n.
MTu	medial tuberal hypothalamic n.
Op	optic layer, superior colliculus
OPT	olivary pretectal n.
Pa	paraventricular hypothalamic n.
PHb	parahabenular area
PLi	posterior limitans n.
PNo	pontine reticular n., oral part
PP	peripeduncular n.
PPTg	pedunculo-pontine tegmental n.
pSON	peri-supraoptic area
RCh	retrochiasmatic area
SCN	suprachiasmatic n.
SON	supraoptic n.
sPa	subparaventricular hypothalamic n.
SubCA	subcoerulean area
SuG	superficial gray layer, superior colliculus
VLG	ventrolateral geniculate n.
VLPO	ventrolateral preoptic n.
VTA	ventral tegmental area
ZI	zona incerta
Zo	zonal layer, superior colliculus

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